

10-28-03

3621 #8 135

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

09469/014001

OCT 27 2003

In Re Application Of: Chui-Shan TERESA LAM et al.

Serial No.

10/082,758

Filing Date

February 25, 2002

Examiner

John M. WINTER

Group Art Unit

3621

Title: METHOD AND APPARATUS FOR MANAGING A KEY MANAGEMENT SYSTEM

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## 37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

## 37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
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**METHOD AND APPARATUS FOR MANAGING A KEY MANAGEMENT SYSTEM**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0591** as described below.
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Jonathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA L.L.P.  
1221 McKinney, Suite 2800  
Houston, Texas 77010  
Telephone: (713) 228-8600  
Facsimile: (713) 228-8778

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<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>			<b>Docket No.</b> 09469/014001
Applicant(s): Chui-Shan TERESA LAM et al.			
<b>Serial No.</b> 10/082,758	<b>Filing Date</b> February 25, 2002	<b>Examiner</b> John M. WINTER	<b>Group Art Unit</b> 3621

**Invention:**

**METHOD AND APPARATUS FOR MANAGING A KEY MANAGEMENT SYSTEM**

I hereby certify that the following correspondence:

Transmittal of Information Disclosure Statement under 37 CFR 1.97(b) (2 pages) and Form PTO-1449 (1 page) with cited references (3 documents)

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SERIAL NO.:

10/082,758

APPLICANT:

Chui-Shan TERESA LAM et al.

FILING DATE:

2/25/2002

ART UNIT:

3621

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	REF	DOCUMENT NO.	DATE	APPLICANT'S OR PATENTEE'S NAME
	A1	2001/0019614 A1	09/06/2001	Madoukh
	A2	2001/0026619 A1	10/04/2001	Howard, JR. et al.

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	REF	DOCUMENT NO.	DATE	COUNTRY	TRANSLATION	
					YES	NO

**OTHER DOCUMENTS**

EXAMINER INITIALS	REF	(Include Author, Title, Date, Pertinent Pages, etc.)
	A3	ISA/European Patent Office, PCT Invitation to Pay Additional Fees for PCT/US03/05767, 07/25/2003, 5 pages

EXAMINER:

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.